

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Olivier D. Lapicque

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: ATI.0100610

Title: **SYSTEM AND METHOD FOR LOCALIZATION OF SOUNDS IN THREE-DIMENSIONAL SPACE**

To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL855711302US

Name of Depositor: **Terri Alloway**  
(print or type)

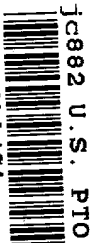
Date of Deposit: 10-14-01

Signature: Terri Alloway

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

- Items accompanying this Certificate of Express Mailing:
- ☒ Filing papers for a new patent application, such filing papers include:
- ☒ A specification and drawings for a new patent application;
  - ☒ Transmittal cover letter;
  - ☒ Fee Transmittal;
  - ☒ Assignment agreement with Recordation Form Cover Sheet;
  - ☒ Combined Declaration and Power of Attorney;
  - ☐ Information Disclosure Statement; and
  - ☒ Return upon receipt post card.

06/14/01



jc882 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37  
CFR 1.53(b))

Attorney Docket No.ATI.0100610 Total Pages 42  
First Inventor or Application Identifier Olivier D. Lapique  
Title SYSTEMA ND METHOD FOR LOCALIZATION OF SOUNDS  
IN THREE-DIMENSIONAL SPACE  
Express Mail Label No. EL855711302US

1033 U.S. PTO  
09/881863  
06/14/01

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages 30*  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawings (35 USC 113) *Total Sheets 5*
4. Oath or Declaration *Total Pages 2*
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Applicant claims small entity status.  
See 37 CFR 1.27  
☐ Statement filed in Prior Application, Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Request & Certification Under 35 U.S.C. 122(b)(2)(B)(i)
16. ☐ Other

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior Application Information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 27412 or, ☐ Correspondence Address Below

Simon, Galasso & Frantz, PLC  
P.O. Box 26503

Austin, Texas 78755-0503

Telephone: 512-336-8957

Facsimile: 512-336-9155

Name and Registration Number (Print/Type)	J. Gustav Larson, Reg. No. 39,263		
Signature		Date	6-14-01

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,404.00

# COPY

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	50-0441
Deposit Account Name	ATI Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 43	(-20 =) 23	18.00	414.00
Indep. 6	(-3 =) 3	80.00	240.00
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 654.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Olivier D. Lapicque
Group Art Unit	
Examiner Name	
Attorney Docket Number	ATI.0100610

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*		113	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	645	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	1550	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

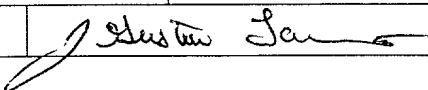
SUBMITTED BY: Simon, Galasso & Frantz, PLC.

Complete (if applicable)

Typed or Printed Name

J. Gustav Larson, Reg. No. 39,263

Signature



Date

6-14-01

Deposit Account User ID